

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

HARRINGTON et al.

Serial No. 09/666,559

Filed: September 21, 2000

For: PROCESS AND APPARATUS FOR  
CONDUCTING AUCTIONS OVER  
ELECTRONIC NETWORKS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Branch

Atty. Dkt. 3594-5

Date: February 6, 2001



The attached completes filing of the above-identified patent application:

- ☐ Signed Rule 63 Declaration alone OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☐ Record and return the attached assignment.  
☒ This application is based on the following prior provisional application(s):

**Application No.** 60/047,876 **Filing Date** May 29, 1997

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): ☐ attached; ☐ already filed on \_\_\_\_\_  
in U.S. Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

☐ The undersigned verifies that the above-identified application is identical to: filed, as amended on \_\_\_\_\_

☐ This application is entitled to "Small entity" status. ☐ "Small entity" statement attached.

☒ Also attached: Preliminary amendment canceling original claims 1-64 and adding new claims 65-250; forms PTO-1449 and cited documents

**Fees are attached as calculated below:**

Basic filing fee					\$	710.00
Total Effective claims	186	- 20 =	105	x \$	18.00	\$ 2988.00
Independent claims	3	- 3 =	0	x \$	80.00	\$ 0.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)						\$ 0.00
<b>FILING FEE</b>						\$ 3698.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)						\$ <del>0.00</del> 110.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted						\$ 130.00
<b>FIRST SUBTOTAL</b>						\$ <del>3828.00</del> 3938.00
If "small entity," enter half (1/2) of subtotal and subtract						-\$ 0.00
<b>SECOND SUBTOTAL</b>						\$ <del>3828.00</del> 3938.00
Assignment Recording Fee (\$40.00)						\$ 0.00
<b>TOTAL FEE TO BE CHARGED TO DEPOSIT</b>						\$ <del>3828.00</del> 3938.00

**ACCOUNT NO. 14-1140**

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.

The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our

**Account No. 14-1140.** A duplicate copy of this sheet is attached.

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**NIXON & VANDERHYTE P.C.**

By Atty: Robert W. Faris, Reg. No. 31,352

Signature: Robert W. Faris